JUL 26 2000
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(Modified) PTO/SB/08A-B (10-96)
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Substitute for form 1449A-B/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Complete if Known	
Application Number	**09/494,282**
Filing Date	**January 18, 2000**
First Named Inventor	**Sergey A. Selifonov**
Group Art Unit	**Unassigned**
Examiner Name	**Unassigned**
Attorney Docket Number	**02-028930US**

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	AA	STEMMER (1994) ĐNA shuffling by random fragmentation and reassembly: In vitro recombination for molecular evolution" *Proc. Natl. Acad. Sci. USA* vol. 91, pp 10747-10751	
	AB	VENKATASUBRAMANIAN et al., (1995) "Evolutionary Design of Molecules with Desired Properties Using the Genetic Algorithm" *J. Chem. Inf. Comput. Sci.* vol. 35 pp. 188-195	
	AC	SINGH et al., (1996) "Application of Genetic Algorithms to Combinatorial Synthesis: A Computational Approach to Lead Identification and Lead Optimization" *J. Chem. Inf. Comput. Sci* vol. 118 pp 1669-1676	
	AD	HARAYAMA, SHIGEAKI, (1998) "Artificial evolution by DNA shuffling" *Tibtech* vol. 16 pp 76-82	
	AE	ZHANG, CHING (1994) "A Genetic Algorithm for Molecular Sequence Comparison" *Proceedings of the International Conference on Systems, Man, and Cybernetics* pp 1926-1931	

Examiner Signature		Date Considered	1/25/03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

PIPE JC152 JAN 07 2002 PATENT & TRADEMARK OFFICE

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JC152 JAN 09 2002 PATENT & TRADEMARK OFFICE MAIL DATE CANCELLED

Complete if Known	
Application Number	**09/494,282**
Filing Date	**January 18, 2000**
First Named Inventor	**Sergey A. Selifonov**
Group Art Unit	**1631**
Examiner Name	**Zhou S.**
Attorney Docket Number	**02-028930US**

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document Number	U.S. Patent Document Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, lines, Where Relevant Passages or Relevant Figures Appeal
	AA	5,023,171		Ho et al.	06-11-1991	

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	AB	WO	91/06643	A1	Majesty (Her) In Right of Canada	05-16-1991		
	AC	WO	96/16073	A2	Terrapin Technologies, Inc.	05-30-1996		
	AD	WO	96/16073	A3	Terrapin Technologies, Inc.	05-30-1996		

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Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	AE	Dewey et al. (1998) "Non-equilibrum Thermodynamics of Molecular Evolution." *J. Theor Biol.*193:593-599	
	AF	Morchio et al. (1997) "Simulation of Protein Evolution: Evidence for a Non-linear Aminoacidic Substitution Rate." *Riv Biol* 83-94	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	**09/494,282**
Filing Date	**January 18, 2000**
First Named Inventor	**Sergey A. Selifonov**
Group Art Unit	**1631**
Examiner Name	**Zhou S.**
Attorney Docket Number	**02-028930US**

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	U.S. Patent Document Number	U.S. Patent Document Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appeal

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OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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Examiner Signature		Date Considered	1/25/03

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#33

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Form 1449 (Modified)	Atty Docket No.	Application No.:
Information Disclosure Statement By Applicant	MXGNP001X1	09/494,282
	Applicant: Selifonov et al.	
	Filing Date	Group
(Use Several Sheets if Necessary)	January 18, 2000	1635

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
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Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation Yes	Translation No
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	B2	WO01/61344	8/23/01	WIPO				
	B3	WO00/42559	7/2/00	WIPO				
	B4	WO01/75767	10/11/01	WIPO				

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	C5	Martin van Heel, "A New Family of Powerful Multivariates Statistical Sequence Analysis Techniques," J. Mol. Biol, 220:877-887 (1991)
	C6	Goldman et al., "Estimating Protein Function From Combinatorial Sequence Data Using Decision Algorithms and Neural Networks," Drug Dev. Research 33:125-132 (1994)
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	C8	Miyazawa et al., "Residue-Residue Potentials with a Favorable Contact Pair Term and an Unfavorable High Packing Density Term, for Simulation and Threading," J. Mol. Biol., 256:623-644 (1996)
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	C20	Schneider, et al, "Peptide Design by Artificial Neural Networks and Computer-Based Evolutionary Search", Proc. Natl. Acad. Sci. USA, vol. 95, pp. 12179-121184, October 1998
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Examiner		Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form 1449 (Modified)	Atty Docket No.	Application No.:
	MXGNP001X1	09/494,282
Information Disclosure Statement By Applicant	Applicant: Selifonov et al.	
	Filing Date	Group
(Use Several Sheets if Necessary)	January 18, 2000	1635

U.S. Patent Documents

Examiner Initial	No.	Patent No:	Date	Patentee	Class	Sub-class	Filing Date
SL	A1	6,455,254	9/24/02	Short			
	A2						
	A3						

Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation Yes	Translation No
	B1							
	B2							
	B3							
	B4							

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Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	C1	
	C2	
	C3	
	C4	

Examiner	Date Considered
S Lathon	1/25/03

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Form 1449 (Modified)	Atty Docket No.	Application No.:
	MXGNP001X1/0124.410US	09/494,282
Information Disclosure Statement By Applicant	Applicant: Selifonov et al.	
	Filing Date	Group
(Use Several Sheets if Necessary)	January 18, 2000	1631

U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub-class	Filing Date
	A1						
	A2						
	A3						
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Foreign Patent or Published Foreign Patent Application

Examiner Initial	No.	Document No.	Publication Date	Country or Patent Office	Class	Sub-class	Translation Yes	Translation No
	B1							
	B2							
	B3							
	B4							
	B5							

Other Documents

Examiner Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
✓	~~C1~~	~~Moore et al., *Modeling and Optimization of DNA Recombination,* Computer and Chemical Engineering 2000, Department of Chemical Engineering, The Pennsylvania State University, University Park © 2000~~
	C2	Gregory L. Moore, Costas D. Maranas, *Modeling DNA Mutation and Recombination for Directed Evolution Experiments,* Department of Chemical Engineering, The Pennsylvania State University, University Park, Received 28, October 1999, Accepted in revised form 15 April 2000 © 2000 Academic Press

No publication date

Examiner	Date Considered
	1/30/03

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.